



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: S. Deliwala : Examiner: Not yet known
Serial No.: 10/076,920 : Group No.: 3653
Filed: February 15, 2002 : Attorney Docket No.: 53168-500301D3
For: Simulation Program For
Integrated Optical/Electronic
Circuit :

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**INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §§ 1.56 ET SEQ.**

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GROUP 3600

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. § 1.97(b), the above-identified Applicants cite the following patents and publications, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. Applicant hereby states that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to this filing. In compliance with 37 C.F.R. § 1.98(a), copies of the patents and publications set forth below and listed on the attached Forms PTO/SB/08A-B (2 sheets) which have not yet been cited in this application, have been provided.

* * * * *

CERTIFICATE OF MAILING (37 C.F.R. § 1.8)

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Name Daniel H. Golub

Signature

Date of Deposit March 11, 2003

U.S. Patent/Publication No.	Patentee	Issue/Publication Date
4,904,039 A	Soref	February 27, 1990
5,355,237 A	Lang et al.	October 11, 1994
5,559,912 A	Agahi et al.	September 24, 1996
5,838,870 A	Soref	November 17, 1998
5,844,822 A	Yoshida	December 01, 1998
6,108,472	Rickman et al.	August 22, 2000
6,222,951 B1	Huang	April 24, 2001
2002/0048422 A1	Cotterete et al.	April 25, 2002
5,140,651 A	Soref et al.	August 18, 1992

Foreign/Publication No.	Patentee/Applicant	Issue/Publication Date
JP 2000-304956	Oki Electric Ind Ltd	November 02, 2000
JP 61-11708	Nippon Telegraph and Telephone Corp	January 20, 1986

Other References

Xiao, X., et al. Fabry-Perot Optical Intensity Modulator at 1.3 mm in Silicon, IEEE Photonics Technology Letters, vol 3, No. 3, March 1991, pp. 230-231.

Applicants respectfully request that these items be considered by the Examiner, and that the Examiner acknowledge consideration of these references by initialing and returning copies of the enclosed Forms PTO/SB/08A-B with the next official action.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention or otherwise material to the patentability of this invention as defined in 37 C.F.R. § 1.56.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(b) exists.

Respectfully submitted,



Daniel H. Golub
Reg. No. 33,701
Attorney for Applicant

MORGAN, LEWIS & BOCKIUS LLP
1701 Market Street
Philadelphia, PA 19103-2921
215.963.5055

Dated: March // , 2003



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PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO				Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	101076920
(use as many sheets as necessary)				Filing Date	February 15, 2002
				First Named Inventor	S. Deliwalra
				Art Unit	3653
				Examiner Name	Not yet Known
Sheet	1	of	2	Attorney Docket Number	53168-500301D3

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MAR 17 2003

GROUP 3600

Examiner Signature		Date Considered	
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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 2

Complete If Known	
Application Number	10/076,920
Filing Date	February 15, 2002
First Named Inventor	S. Deliwala
Group Art Unit	3653
Examiner Name	Not yet known
Attorney Docket Number	53168-500301D3

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

EXAMINER'S INITIALS			CITE NO.		INCLUDE NAME OF THE AUTHOR (IN CAPITAL LETTERS), TITLE OF THE ARTICLE (WHEN APPROPRIATE), TITLE OF THE ITEM (BOOK, MAGAZINE, JOURNAL, SERIAL, SYMPOSIUM, CATALOG, ETC.), DATE, PAGE(S), VOLUME-ISSUE NUMBER(S), PUBLISHER, CITY AND/OR COUNTRY WHERE PUBLISHED		T2
E	I	S	L		Xiao, X., et al. <i>Fabry-Perot Optical Intensity Modulator at 1.30μm in Silicon</i> , IEEE Photonics Technology Letters, Vol. 3, No. 3, March 1991, pp. 230-231.		
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Examiner Signature		Date Considered	
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